#### УЧЕБНЫЕ ПРОГРАММЫ

# Ф. Доббин

# Экономическая социология

Гарвардский университет, весна 2004 г.



#### ДОББИН Фрэнк (Dobbin, Frank) профессор социологии Гарвардского университета (Кембридж, США).

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## Overview

Early sociologists were interested in the causes and consequences of the rise of capitalism. Karl Marx, Emile Durkheim, and Max Weber studied changes in economic behavior patterns that coincided with the rise of capitalism, but then for much of the twentieth century sociologists turned away from the study of economic behavior. Under the division of labor that came to characterize the social sciences, economic behavior was the province of economists. That changed in the early 1980s, when sociologists returned to the study of economic behavior. Most see economic behavior as shaped by the same roles, conventions, and social structures that shape political, religious, or family behavior. Most challenge the idea that behavior can be predicted by theories that neglect social structure.

This course surveys economic sociology's main theoretical camps — institutional, network, power, and cognitive approaches – and some of its main substantive areas — development, globalization, financial markets. One goal is to explore the extent to which different theoretical paradigms serve as alternatives to one another or as complements. Another is to review some of the most important studies and findings.

The course is in essence a wine-tasting. In a field dominated by monographs, we do not read a single one from start to finish. We sample many different things each week.

## **Requirements**

The course is run as a participatory seminar. Attendance and participation are required. Class members will write a brief memo each week abstracting the week's readings, to be turned in at our class meeting. Class members will take turns framing discussion questions. The course is designed to encourage class members to come to understandings of the readings together.

A term paper is the final requirement of the course. The paper should be approximately fifteen pages in length, and it may take the form of a research proposal that incorporates ideas from a number of the readings in the course.

# Grading

The final grade will depend equally on (1) class participation and discussion initiation, (2) weekly abstracts of the readings, and (3) a term paper.

# Readings

Most of the readings are available for you to photocopy, in the library on the first floor of William James Hall. Other readings are available online, or in a collection that you may either purchase or photocopy. On the syllabus, I have marked where you can find each reading:

(Website) for those that are on the course website, (WJH Library) for those that are available for photocopying, and (Reader) for those that can be found in the book. The online readings are available on the course website. The book is:

Dobbin Frank (ed.). The Sociology of the Economy. New York: Russell Sage Foundation.

It is due out the first week of February. I will leave a copy in the WJH library for you to photocopy, or you can order it directly from the press by calling 1-800-524-6401.

## 1. February 9

## Economics and Sociology Divide

- Yonay Y. 1998. The Struggle Over the Soul of Economics: Institutionalist and Neoclassical Economists in American Between the Wars. Princeton, NJ: Princeton University Press; Ch. 1, 10: 3–28, 196–222. (WJH Library)
- Fourcade-Gourinchas M. 2001. Politics, Institutional Structures and the Rise of Economics: A Comparative Study. *Theory and Society*. 30 (3).
- Camic Ch. 1992. Reputation and Predecessor Selection: Parsons and the Institutionalists. *American Sociological Review.* 57 (4): 421–455.
- Mitchell T. 1999. Society, Economy, and the State Effect. In: Steinmetz G. (ed.). *State/Culture: State-Formation after the Cultural Turn*. Ithaca, NY: Cornell University Press; 76–97.
- Smelser N. 1995. Economic Rationality as a Religious System. In: Wuthnow R. (ed.). *Rethinking Materialism: Perspectives on the Spiritual Dimension of Economic Behavior*. Grand Rapids, MI: William B. Erdmans; 73–92.
- 2. February 16 (Presidents' Day: To Be Rescheduled at First Meeting)

## The Sociology of the Economy

- Smelser N. J., Swedberg R. 2004. Introducing Economic Sociology. In: *The Handbook of Economic Sociology*. 2nd edn. Princeton, NJ: Princeton University Press and the Russell Sage Foundation.
- Dobbin F. Forthcoming. The Sociological View of the Economy. In: *The New Economic Sociology: An Anthology*. Princeton, NJ: Princeton University Press; 1–46.
- Powell W. W. 1990. Neither Market nor Hierarchy: Network Forms of Organization. In: Cummings L. L., Shaw B. (eds.). *Research in Organizational Behavior*. 12. Greenwich, CT: JAI Press; 295–336.

- Hall P. A., Taylor R. C. R. 1996. Political Science and the Three New Institutionalisms. *Political Studies*. 44: 936–58.
- Campbell J. L. 1998. Institutional Analysis and the Role of Ideas in Political Economy. *Theory and Society*. 27: 377–409.
- Fligstein N. 2001. Bringing Sociology Back In. In: *The Architecture of Markets: The Economic Sociology of Twenty-First-Century Capitalist Societies*. Princeton, NJ: Princeton University Press; 3–24.

# 3. February 23

## The Rise of the Market

- Braudel F. 1977. *Afterthoughts on Material Civilization and Capitalism*. Baltimore: Johns Hopkins University Press; 79–117.
- Polanyi K. 1944. *The Great Transformation: The Political and Economic Origins of our Time*. New York: Rinehart; 56–76, 135–162.
- Hirschman A. O. 1977. *The Passions and the Interests: Political Arguments for Capitalism before its Triumph*. Princeton: Princeton University Press; 3–66.
- Swedberg R. 2004. Markets in Society. In: Smelser N., Swedberg R. (eds.). *The Handbook of Economic Sociology*. Princeton, NJ: Princeton University Press and Russell Sage Foundation.
- Nee V. 1989. A Theory of Market Transition: From Redistribution to Markets in State Socialism. *American Sociological Review*. 54: 663–681.
- Stark D. 1996. Recombinant Property in East European Capitalism. *American Journal of Sociology*. 101 (4): 993–1027.

# Part I. Sociological Approaches to Economic Behavior

# 4. March 1

## Institutions

- Weber M. 2001 (1930). *The Protestant Ethic and the Spirit of Capitalism*. Trans. by T. Parsons. New York: Routledge; 3–11, 39–41, 102–109, 115–116, 124–125.
- Meyer J. W., Rowan B. 1977. Institutionalized Organizations: Formal Structure as Myth and Ceremony. *American Journal of Sociology*. 83: 340–63.
- DiMaggio P. J., Powell W. W. 1983. The Iron Cage Revisited: Institutional Isomorphism and Collective Rationality in Organizational Fields. *American Sociological Review*. 48: 147–160.
- Whitley R. 1999. *Divergent Capitalisms: The Social Structuring and Change of Business Systems*. Oxford: Oxford University Press; Ch. 2: 31–64.

- Scott W. R. 2004. Competing Logics in Healthcare: Professional, State, and Managerial. In: Dobbin F. (ed.). *The Sociology of the Economy*. New York: Russell Sage Foundation Press; 267–287.
- Haveman H. A. 1993. Follow the Leader: Mimetic Isomorphism and Entry into New Markets. *Administrative Science Quarterly*. 38: 593–627.

## 5. March 8

## Networks

- Durkheim É. 1933. *The Division of Labor in Society*. Trans. by G. Simpson. New York: Free Press; 39–41, 104–113, 129–131, 193–195, 226–227, 287–291, 277–280.
- Granovetter M. 1985. Economic Action and Social Structure: The Problem of Embeddedness. *American Journal of Sociology*. 91: 481–510.
- Portes A., Sensenbrenner J. 1993. Embeddedness and Immigration: Notes on the Social Determinants of Economic Action. *American Journal of Sociology*. 98: 1320–1350.
- Leifer E., White H. 1987. A Structural Approach to Markets. In: Mizruchi M., Schwartz M. (eds.). *Intercorporate Relations: The Structural Analysis of Business*. New York: Cambridge University Press; 85–108.
- Burt R. S. 1992. Structural Holes. Cambridge MA: Harvard University Press; 8-30.
- Uzzi B. 1999. Embeddedness in the Making of Financial Capital: How Social Relations and Networks Benefit Firms Seeking Financing. *American Sociological Review*. 64: 481–505.
- McLean P., Padgett J. 2004. Obligation, Risk, and Opportunity in the Renaissance Economy. In: Dobbin F. (ed.). *The Sociology of the Economy*. New York, Russell Sage Foundation Press; 193–227.
- Podolny J. M., Stuart T. E. 1995. A Role-Based Ecology of Technological Change. American Journal of Sociology. 100 (5): 1224–1260.

## 6. March 15

## Power

- Marx K. 1978. The Germany Ideology. In: Tucker R. (ed.). *The Marx-Engels Reader*. 2nd edn. New York: Norton; 149–155, 172–173, 176–188.
- Fligstein N. 1990. *The Transformation of Corporate Control*. Cambridge, MA: Harvard University Press; 2–32.
- Davis G. F., Diekmann K. A., Tinsley C. H. 1994. The Decline and Fall of the Conglomerate Firm in the 1980s: The Deinstitutionalization of an Organizational Form. *American Sociological Review*. 59: 547–570.
- Roy W. G. 1997. *Socializing Capital: The Rise of the Large Industrial Corporation in America*. Princeton, NJ: Princeton University Press; 1–6, 10–18, 41, 44–49, 76–77.
- Carruthers B. 1996. *City of Capital: Politics and Markets in the English Financial Revolution*. Princeton, NJ: Princeton University Press; 18–21, 161, 164–165, 175–183, 187–192.

- Perrow Ch. 2004. Organizing America. In: Dobbin F. (ed.). *The Sociology of the Economy*. New York: Russell Sage Foundation Press; 29–42.
- Marsden P. 1983. Restricted Access in Networks and Models of Power. *The American Journal of Sociology*. 88 (4): 686–717.

7. March 22

#### Cognition and Sensemaking

- Durkheim É. 1961. The Elementary Forms of Religious Life. New York: Collier; 236, 254–258, 259–261, 468–472, 269–272, 494–496.
- Berger P., Luckmann Th. 1966. *The Social Construction of Reality: A Treatise on the Sociology of Knowledge*. Garden City: Doubleday; 1, 3, 19–25, 53–67,110–112,116–118.
- Meyer J., Boli J., Thomas G. 1987. Ontology and Rationality in the Western Cultural Account. In: Thomas G., Meyer J., Francisco R., Boli J. (eds.). *Institutional Structure: Constituting State, Society, and the Individual*. Newbury Park: Sage Publications.
- March J., Simon H. 1993. Organizations. 2nd edn. Blackwell: Oxford; 158-163, 172-179, 190-192.
- Weick K. E. 1995. Sensemaking in Organizations. Thousand Oaks, CA: Sage; 1-6, 10-16, 38-43, 61-62.
- Knorr Cetina K., Bruegger U. 2004. Global Microstructures: The Interaction Practices of Financial Markets. In: Dobbin F. (ed.). *The Sociology of the Economy*. New York, Russell Sage Foundation Press; 157–190.
- Zelizer V. A. 1987. Pricing the Priceless Child: The Changing Social Value of Children. New York: Basic; 56–85, 97–100, 112.
- Healy K. 2004. Sacred Markets and Secular Ritual in the Organ Transplant Industry. In: Dobbin F. (ed.). *The Sociology of the Economy*. New York, Russell Sage Foundation Press; 308–332.

March 27 to April 4: Spring Break

8. April 5

Nation-States and Economic Development

Gershenkron A. 1962. Economic Backwardness in Historical Perspective. Cambridge, MA: Belknap; 5-30.

Cardoso F. H., Faletto E. 1979. *Dependency and Development in Latin America*. Berkeley, CA: University of California; vii–28.

Wallerstein I. 1974. The Modern World-System. New York: Academic; 228-239.

Whyte M. K. 1973. Bureaucracy and Modernization in China: The Maoist Critique. *American Sociological Review*. 38 (2): 149–163.

- Evans P., Rauch J. 1999. Bureaucracy and Growth: A Cross-National Analysis of the Effects of «Weberian» State Structures on Economic Growth. *American Sociological Review*. 64 (5): 748–765.
- Centeno M. 1997. Blood and Debt: War and Taxation in Nineteenth Century America. *American Journal of Sociology*. 102: 1565–1605.

9. April 12

# Comparative Capitalism. I

- Whitley R. 1992. The Social Construction of Organizations and Markets: The Comparative Analysis of Business Recipes. In: Reed M., Hughes M. (eds.). *Rethinking Organization: New Directions in Organization Theory and Analysis*. London: Sage; 120–143.
- Johnson Ch. 1987. Political Institutions and Economic Performance: The Government-Business Relationship in Japan, South Korea, and Taiwan. In: Deyo F. (ed.). *The Political Economy of the New Asian Industrialism*. Ithaca: Cornell University Press; 136–164.
- Hamilton Gary G., Biggart Nicole Woolsey. 1988. Market, Culture, and Authority: A Comparative Analysis of Management and Organization in the Far East. *American Journal of Sociology*. 94: S52–S94.
- Gao B. 2004. The State and the Associational Order of the Economy: The Institutionalization of Cartels and Trade Associations in 1931–1945 Japan. In: Dobbin F. (ed.). *The Sociology of the Economy*. New York: Russell Sage Foundation Press; 43–73.
- Brinton M. C. 1988. The Social-Institutional Bases of Gender Stratification: Japan as an Illustrative Case. *The American Journal of Sociology*. 94 (2): 300–334.
- Swedberg R. 2004. On Legal Institutions and Their Role in the Economy. In: Dobbin F. (ed.). *The Sociology of the Economy*. New York: Russell Sage Foundation Press; 74–92.
- 10. Week of April 19
- Comparative Capitalism. II
- Dobbin F. 1994. *Forging Industrial Policy: The United States, Britain, and France in the Railway Age*. New York: Cambridge University Press; Ch. 1, 5: 1–27, 213–231.
- Bendix R. 1956. *Work and Authority in Industry: Ideologies of Management in the Course of Industrialization*. New York: John Wiley and Sons; 434–450.
- Fligstein N. 1996. The Logic of Employment Systems. In: *The Architecture of Markets: The Economic Sociology* of *Twenty-First-Century Capitalist Societies*. Princeton, NJ: Princeton University Press; 99–122.
- Guillén M. F. 1994. *Models of Management: Work, Authority, and Organization in a Comparative Perspective.* Chicago: University of Chicago Press; 1–29, 266–280.

- Hofstede G. 1990. Measuring Organizational Cultures: A Qualitative and Quantitative Study across Twenty Cases. *Administrative Science Quarterly*. 35: 286–316.
- Biernacki R. 2001. Labor as an Imagined Commodity. Politics and Society. 29 (2): 173-206.
- Western B. 1994. Unionization and Labor Market Institutions in Advanced Capitalism, 1950–1985. *American Journal of Sociology*. 99: 1314–1341.

11. Week of April 26

#### Globalization

- Meyer J. W., Boli J., Thomas G. M., Ramirez F. O. 1997. World Society and the Nation-State. *American Journal of Sociology*. 103 (1): 144-81.
- Sassen S. 2000. Spatialities and Temporalities of the Global: Elements for a Theorization. Public Culture: Society for Transnational Cultural Studies. *Millenial Quartet*. 2: 215–232.
- Saxenian A. L. 2001. Inside-Out: Regional Networks and Industrial adaptation in Silicon Valley and Route 128. In: Granovetter M., Swedberg R. (eds.). The Sociology of Economic Life. 2nd edn. Boulder, CO: Westview; 357–374.
- Schneper W., Guillén M. 2004. Corporate Governance, Legitimacy, and Models of the Firm. In: Dobbin F. (ed.). *The Sociology of the Economy*. New York: Russell Sage Foundation Press; 127–156.
- Davis D. 2004. Talking about Property in the New Chinese Domestic Property Regime. In: Dobbin F. (ed.). *The Sociology of the Economy*. New York: Russell Sage Foundation Press; 288–307.
- Mizruchi M., Davis G. 2004. The Globalization of American Banking, 1962–1981. In: Dobbin F. (ed.). *The Sociology of the Economy*. New York, Russell Sage Foundation Press; 95–126.
- 12. Week of May 3

#### Financial Markets

- Useem M. 1993. *Executive Defense: Shareholder Power and Corporate Reorganization*. Cambridge, Mass.: Harvard University Press; 1–56.
- Khurana R. 2002. *Searching for a Corporate Savior: The Irrational Quest for Charismatic CEOs.* Princeton, NJ: Princeton University Press; 1–50.
- Abolafia M. 1996. *Making Markets: Opportunism and Restraint on Wall Street*. Cambridge, MA: Harvard University Press; 1–63.
- Lounsbury M. 2002. Institutional Transformation and Status Mobility: The Professionalization of the Field of Finance. *Academy of Management Journal*. 45 (1): 255–266.
- Zuckerman E. W. 2000. Focusing the Corporate Product: Securities Analysts and De-Diversification. *Administrative Science Quarterly*. 45: 591–619.